

# HIV Prevention Research Process: The Basics ...and more

## From the lab to you: the research process

- Preclinical research (concept, lab, animal studies)
- Clinical (human) research
  - Phase 1: smaller safety studies,
  - Phase 2: larger, longer, look at safety and immunogenicity
  - Phase 2b/3: even larger, look at safety and efficacy

## After effectiveness results......

Open-label, 3b, post-licensure Phase 4 marketing studies

Basic research Pre-clinical Clinical Phase II/IIB/III Phase I Efficacy

Basic science

Translational research

**Clinical trials** 

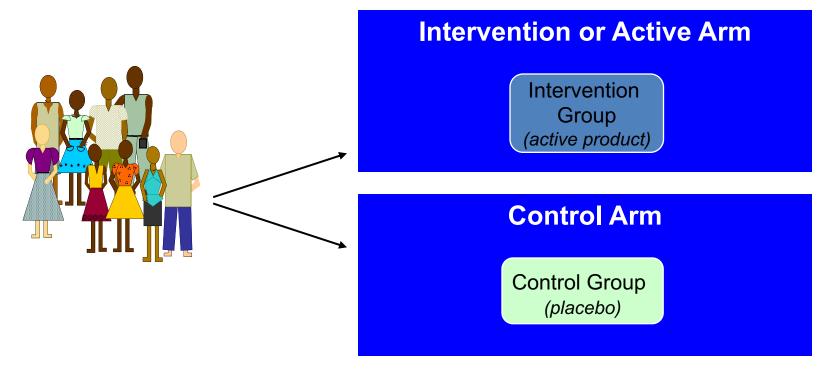
<sup>&</sup>quot;Gold Standard": Randomized and controlled

# How are clinical trials conducted?

- The "gold standard" in research is a randomized and controlled study (RCT)
- Three key concepts:
  - Controlled
  - Randomized
  - Double-blind

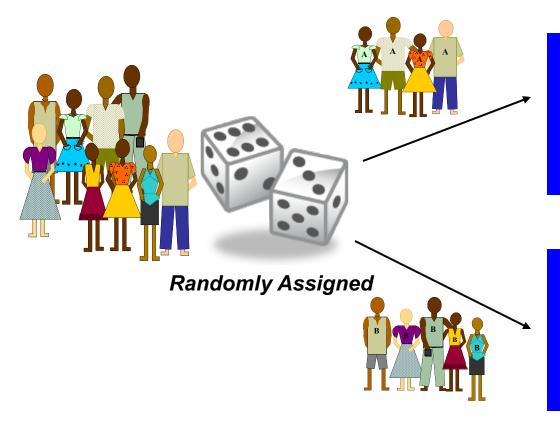
# Randomized Controlled Trial

- Looks at the effect of an intervention/product in a trial setting – factors are more controlled than in real life
- Involves a minimum of 2 arms (study groups)



# Randomized Controlled Trial

## Participants are randomized



#### **Intervention or Active Arm**

Intervention
Group
(active product)

### **Control Arm**

Control Group (placebo)

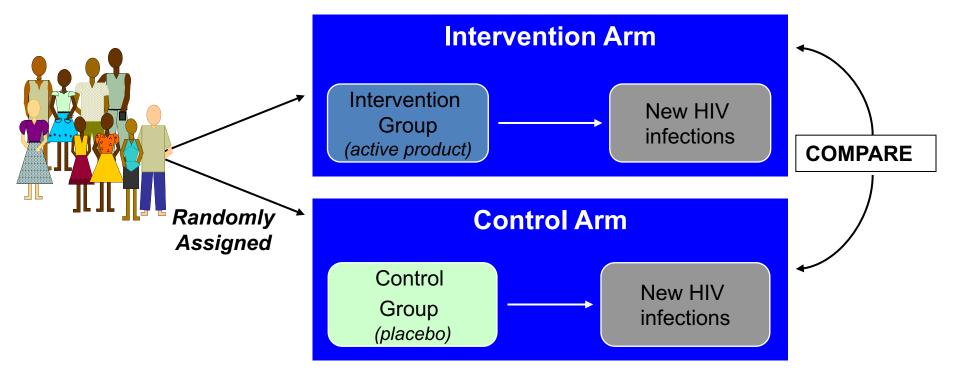
# **Double-Blinded RCT**

## The trial is double-blinded:

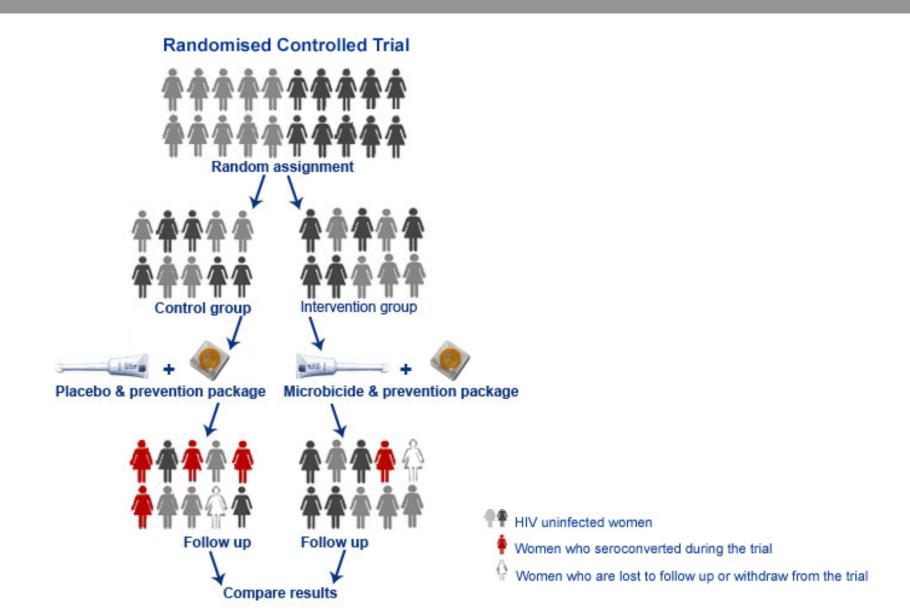
- Neither participants nor researchers know whether each participant is in the active or control arm(s)
- Less potential for bias
- Data unblinded at the end of study to compare between groups

## Randomized Controlled Trial

- Goal is to assess if the active study product really works, by comparing to the control
- HIV prevention research: compare new HIV infections in the study arms to determine if the intervention prevented infection and/or progression to disease



# Measuring effectiveness



# RCT Summary

- 1. One group of participants uses the test product or strategy (active arm)
- Other group does not use the test product or strategy at all (control arm)
- 3. All participants get standard prevention tools
- 4. At the end, researchers compare outcomes (such as numbers of new HIV infections) in each group
- If fewer people got HIV in the active arm than in the control arm, that would suggest that the test strategy reduced HIV risk

# Safeguards in clinical trials

- International Ethical Standards
- National Regulatory Bodies
- Institutional Review Boards (IRBs)/Ethics Review Committees
- Community/stakeholder input governed by Good Participatory Practice (GPP) guidelines
- Informed Consent
- Adverse event monitoring
- Data Safety and Monitoring Boards (DSMBs/IDMC)
- Community Involvement



#### From Research to Rollout: Evaluations that move a product to the "real world"

# Post-trial access Open label extensions implementation studies

- Intervention provided to trial participants and, sometimes, their communities, after the trial is over and before a product is available for widespread use.
- Intervention made available, often for a specific time frame, in the context of a follow-on study protocol in which participants from the previous randomized controlled trial (RCT) know that they are receiving the active intervention.
- · Gather information about how a product works in people who are now aware of the potential benefit.

### Open label /

 Research protocols similar to above but enrolling new participants-e.g., those who were not previously enrolled in the RCTs and who might be in open label extensions (OLEs).

#### Demonstration projects

- "Road test" use of new option in real-world settings—not in trial site.
- Can address both infrastructure needs to deliver intervention and ways individuals integrate it into daily activities and decision making.
- Can help answer core questions about which populations will gain greatest benefit from new interventions, how best to provide those tools and ensure that people use them as directed, and how to integrate new tools with existing methods and health systems.

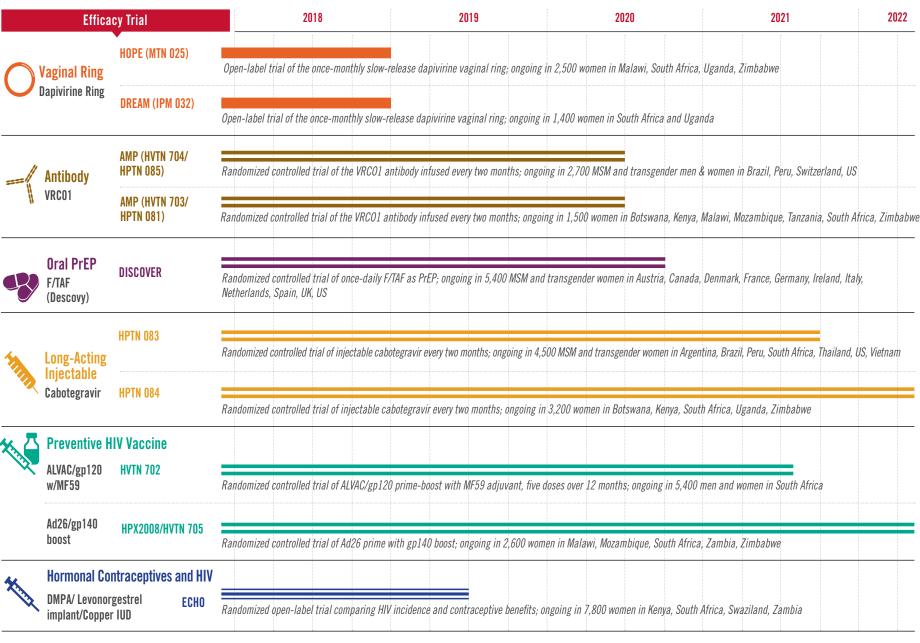
#### Product introduction

- Complex process of formally making new options widely available. Can include:
- Meeting complex regulatory requirements, pregualification by WHO, and various country-specific requirements.
- Overcoming logistical challenges, such as production scale-up, supply and logistics issues that come with manufacturing and introducing a new product.
- Building awareness of and demand for new prevention methods in relevant communities through education, marketing, promotion and other activities.
- Working with health ministries, funding agencies and implementing partners to ensure that new interventions are integrated with other proven strategies and health systems.

#### Scale-up

 Process of ramping up access to new options for all who need them. Scale-up requires mobilization of sufficient resources for procurement, distribution, delivery, worker training and other costs associated with rollout; quick identification and resolution of potential bottlenecks; and engagement with at-risk communities to ensure a sense of ownership over the scale-up.

Times they are a changin' (for trial design now that there's PrEP)





# Research pipeline (not efficacy)

- Rectal microbicides
- MPTs (Multi-Prevention Technologies)
- Implants
- Long-acting injectables
- Cure
- Vaccines
- Broadly neutralizing antibodies

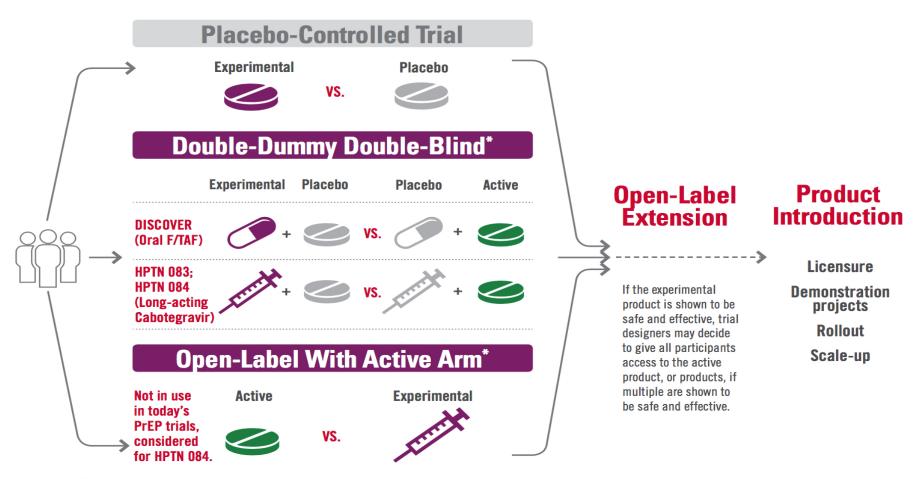
"In clinical trials, PrEP should be provided on-site (as part of SoC) to all participants only in countries with national guidelines on PrEP."

"Advocates <u>cannot</u> influence the products that are studied or trial designs."

## Research Complexity in Post-Placebo Era

- Active control (instead of placebo control)
- Double-dummy double-blind trials
- Open-label trial
- Non-inferiority trials
- Superiority trials

## Research in the Post-Placebo Era



All of these designs are randomized, meaning that participants are assigned to a study arm by chance. This protects against bias, whether the participant knows what he or she is receiving or not.

Percolating Pipeline in PrEP Era						
Strategy	Trial	#	Population	Status	Location	PrEP Status
Oral PrEP: Daily oral F/TAF	Discover	5,000	MSM & transgender	Fully enrolled	Canada, Denmark, Germany, Ireland, Italy, Netherlands, Spain, UK, US	Oral TDF/FTC as part of active control in double-dummy, double-blind design
bNAb: VRC01 infused every 2 months	HVTN 704/ HPTN 085	2,700	MSM & transgender	Enrolling	Brazil, Peru, Switzerland, US	Access to oral FTC/TDF PrEP offered at no drug cost to every participant
	HVTN 703/ HPTN 081	1,500	Sexually active women	Enrolling	Botswana, Kenya, Malawi, Mozambique, Tanzania, South Africa, Zimbabwe	Oral TDF/FTC discussed in IC, risk reduction counseling sessions, and referral systems
Vax: ALVAC/gp120	HVTN 702	5,400	Sexually	Enrolling	South Africa	

**Enrolling** 

**Enrolling** 

**Enrolling** 

**Enrolling** 

Planned

start early 2018

Malawi, Mozambique,

South Africa, Zambia,

Argentina, Brazil, Peru,

South Africa, Thailand, US

Botswana, Kenya, Malawi,

South Africa, Swaziland, Uganda, Zimbabwe

Kenya, South Africa,

Kenya, South Africa,

Uganda, Zimbabwe

Swaziland, Zambia

Zimbabwe

active women

active women

& men

Sexually

MSM &

Sexually

Sexually

Sexually

transgender

active women

active women

active women

2,600

4,500

3,200

7,800

300

Oral TDF/FTC as part of

active control in double-

dummy, double-blind design

Both PEP and PrEP will be

offered, either onsite or by

rererral, per local standard of care/national policy

Open-label cross-over; all

will try both ring and oral,

then choose

MF59 adjuvant boost,

5 doses in 12 months

Vax: Ad26/Mosaic +

in 12 months

two months

gp140 boost, 4 doses

Long-acting injectable:

cabotegravir every

HC/HIV: evaluating 3

possible increased risk

Ring/PrEP: dapivirine

ring and oral TDF/FTC

contraceptives for

HPX2008/

HVTN705

**HPTN 083** 

**HPTN 084** 

MTN 034/IPM

045/REACH

**ECHO** 

## Research in the Post-Placebo Era

- Advocate's Guide to Research Terms in the Post-Placebo Era
- HIV Prevention Trial Terms: An Advocate's Guide
- Px Pulse podcasts:
  - PrEP and Trial Design A no brainer for some
  - Testing Long-Acting PrEP, Easier Said Than Done
  - Standard of Care in the Era of PrEP